



Corporations Amendment Regulations 2010 (No. 9)

Select Legislative Instrument 2010 No. 301

I, QUENTIN BRYCE, Governor-General of the Commonwealth of Australia, acting with the advice of the Federal Executive Council, make the following Regulations under the *Corporations Act 2001*.

Dated 24 November 2010

QUENTIN BRYCE
Governor-General

By Her Excellency's Command

DAVID BRADBURY
Parliamentary Secretary to the Treasurer

1 Name of Regulations

These Regulations are the *Corporations Amendment Regulations 2010 (No. 9)*.

2 Commencement

These Regulations commence on the day after they are registered.

3 Amendment of *Corporations Regulations 2001*

Schedule 1 amends the *Corporations Regulations 2001*.

Schedule 1 Amendments

(regulation 3)

[1] Paragraph 7.5.88 (2) (b)

substitute

- (b) the payment of premiums for fidelity insurance or other compensation arrangements for the financial market as part of an approved compensation arrangement for Division 3 of Part 7.5 of the Act; or
- (c) costs paid by the market licensee in relation to ASIC's responsibilities for market supervision created by the *Corporations Amendment (Financial Market Supervision) Act 2010*; or
- (d) the making of payments to ASIC by the market licensee in relation to ASIC's responsibilities for market supervision created by the *Corporations Amendment (Financial Market Supervision) Act 2010*.

[2] Regulation 8.2.01

omit

the Securities Act 1978 of New Zealand

insert

[3] Paragraph 8.2.02 (1) (a)

omit

the New Zealand Securities Act 1978 and the Securities (Mutual Recognition of Securities Offerings) Regulations 2008;

insert

the Securities Act 1978 (New Zealand) and the Securities (Mutual Recognition of Securities Offerings — Australia) Regulations 2008 (New Zealand);

[4] Paragraph 8.2.02 (1) (b)

omit

New Zealand Securities Regulations 1983

insert

the Securities Regulations 2009 (New Zealand)

[5] Schedule 10A, item 18.1, inserted paragraph 1012D (9E) (c) of the Act

omit

the Securities Act 1978 of New Zealand and Securities Regulation 1983 of New Zealand;

insert

the Securities Act 1978 (New Zealand) and the Securities Regulations 2009 (New Zealand);

**[6] Schedule 10AA, item 2.3, inserted paragraph
1012IA (3B) (c) of the Act**

omit

the Securities Act 1978 of New Zealand and Securities
Regulation 1983 of New Zealand;

insert

the Securities Act 1978 (New Zealand) and the Securities
Regulations 2009 (New Zealand);